

Report Filters and Ordering

Business Area:	TIE Ltd
Business Folder:	TIE Ltd
Business Activity:	5 PALIAMENTARY PROCESS/ APPROVALS
Include Children:	Yes
Include to Depth:	All
Tree Type:	None Specified
Include Indirect:	No
Summary Details:	All
Impact Reassess't:	No
Event	All
Owner	All
Originator:	All
Project Phase	All
Risk Area (OB)	All
Status:	Open
Type	All
Contract Impacted	All
Exposure	Both
Black Flag:	All
Plan Owner:	All
Action	All
Status:	All
Action Owner	All
Type:	All
Date Filter:	No date filters applied
Custom Filter:	undefined
Ordering:	Results ordered by: Current Significance Descending Black flag risks displayed first.

Business Folder: TIE Ltd **Risk ID :** 987
Area of Risk: 5 PALIAMENTARY PROCESS/ APPROVALS
Event: CEC do not agree to final negotiated contract
Owner: D Fraser

Risk Area (OB) **Status:** Open

Description

Effect: Potential cancellation of project

Cause: Unacceptable financial cost and/or risk

Risk Rating: 0.0 **Significance:** NIL

Assessment Matrix Edinburgh Tram

Model Notes	CAPEX Cost (£k)		Programme (Days)	
	Current	Planned Residual	Current	Planned Residual
	NIL	NIL	NIL	NIL

Expected 0.00 0.00 0.00 0.00

Model Notes

Probability: Current NIL 0.00% Planned Residual NIL 0.00%

Title: Call Special Council Meeting to address concerns

Plan Owner: Undefined

High Level Plan

Description

Fallback Plan

Description

Title	Action Owner	Due
Ongoing member engagement	W Gallagher	20-Dec-2007

Business Folder: TIE Ltd **Risk ID :** 977

Area of Risk: 5 PALIAMENTARY PROCESS/ APPROVALS

Event Delay in achievement of permanent TROs causing delay to project

Owner K Rimmer

Risk Area (OB) **Status:** Open

Description

Effect: Requirement to start construction using TTROs

Cause Absence of signed-off final design. Legal challenge. Extension of statutory consultation process. Large number of objections. TRO process is subject to a public hearing process.

Risk Rating: 23.0 **Significance:** High

Assessment Matrix Edinburgh Tram

Model Notes	CAPEX Cost (£k)		Programme (Days)	
	Current	Planned Residual	Current	Planned Residual
Catastroph ic	3000.00	0.00	4.00	0.00

Expected 3000.00 0.00 4.00 0.00

Model Notes 1 month delay at £3m per month

Probability: Current Possible 70.00% Planned Residual NIL 0.00%

Title TRO Strategy

Plan Owner Undefined

High Level Plan Description TRO Strategy approved by Tram Project Board

Fallback Plan Description

Title	Action Owner	Due
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Use of TTROs to undertake construction of permanent works in advance of permanent TROs being approved.	K Rimmer	30-Jan-2011
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Caveat: Uncontrolled when printed **Classification:** Commercially Sensitive

Business Folder: TIE Ltd **Risk ID :** 980

Area of Risk: 5 PALIAMENTARY PROCESS/ APPROVALS

Event Proposed Scottish Exec amendment of Traffic Regs for Tram core measures is unsuccessful thereby triggering public hearings

Owner K Rimmer

Risk Area (OB) **Status:** Open

Description

Effect: Delay to date by which TROs can be made increasing difficulty of managing the gap period between Infracore commencement and the date of the TROs being made. Impact (yet to be assessed) on project costs.

Cause Transport Minister unsympathetic to case put forward for change / SNP hostility towards project. Legal challenge of proposal.

Risk Rating: 23.0 **Significance:** High

Assessment Matrix Edinburgh Tram

Model Notes	CAPEX Cost (£k)		Programme (Days)	
	Current	Planned Residual	Current	Planned Residual
	Catastrophic	NIL	Catastrophic	NIL

Expected 1500.00 0.00 52.00 0.00

Model Notes

Probability: Current Possible 50.00% Planned Residual NIL 0.00%

Title Amendment to Traffic Regulations (sought)

Plan Owner Undefined

High Level Plan Description Give SE all practicable assistance to promote the Regulations change. Plan & programme TROs based on pessimistic (with hearing) scenario.

Fallback Plan Description

Title	Action Owner	Due
Encourage and assist SE as much as possible in order to promote change to regulations	K Rimmer	31-Jul-2008

Caveat: Uncontrolled when printed **Classification:** Commercially Sensitive

Business Folder: TIE Ltd **Risk ID :** 986
Area of Risk: 5 PALIAMENTARY PROCESS/ APPROVALS
Event: CEC failure to sign legal agreement - legal officer level
Owner: D Fraser

Risk Area (OB) **Status:** Open

Description

Effect: Delay to commencement of contract

Cause: Inadequate information supplied by tie.

Risk Rating: 22.0 **Significance:** High

Assessment Matrix Edinburgh Tram

Model Notes	CAPEX Cost (£k)		Programme (Days)	
	Current	Planned Residual	Current	Planned Residual
	Catastrophic	NIL	Moderate	NIL
Min	2000.00		2.00	
Expected	2500.00	0.00	3.00	0.00
Max	3000.00		4.00	

Model Notes

Probability: Current Remote 17.50% Planned Residual NIL 0.00%

Title: Provide necessary contractual information to legal team

Plan Owner: Undefined

High Level Plan

Description

Fallback Plan

Description

Title	Action Owner	Due
Tranparency of and value of risks to CEC from bespoke contract	G Gilbert	31-Aug-2007

Business Folder: TIE Ltd **Risk ID :** 271

Area of Risk: 5 PALIAMENTARY PROCESS/ APPROVALS

Event: Failure to process prior approvals applications within 8 weeks

Owner: T Glazebrook

Risk Area (OB): Client Specific > Inadequacy of Business Case **Status:** Open

Description

Effect: Delay and disruption to Infraco programme

Cause: Inadequate quality of submission of approval. Partial submission of package. Programme compression. Lack of CEC resources.

Risk Rating: 19.0 **Significance:** High

Assessment Matrix Edinburgh Tram

Model Notes	CAPEX Cost (£k)		Programme (Days)	
	Current	Planned Residual	Current	Planned Residual
	Major	NIL	Major	NIL
Min	750.00		8.50	
Expected	750.00	0.00	8.50	0.00
Max	1000.00		8.50	

Model Notes

Probability: Current Probable 80.00% Planned Residual NIL 0.00%

Title: Gain agreement to Inputs

Plan Owner: Undefined

High Level Plan

Description

Fallback Plan

Description

Title	Action Owner	Due
Finalise alignments and gain agreement from CEC	T Craggs	29-Dec-2006
Final agreement to be approved by Roads Authority, CEC Promoter, CEC in-house legal and tie	T Craggs	28-Feb-2007
Agree approvals submission arrangements with CEC to align with SDS design programme and procurement programme.	T Glazebrook	31-Mar-2008
Where appropriate increase case officer resource to cope with programme compression	D Fraser	28-Aug-2008
Assure the quality and timing of submissions	T Glazebrook	29-Aug-2008

Caveat: Uncontrolled when printed **Classification:** Commercially Sensitive

Business Folder: TIE Ltd **Risk ID :** 989
Area of Risk: 5 PALIAMENTARY PROCESS/ APPROVALS
Event CEC lack the opportunity for informed decision making
Owner M Crosse

Risk Area (OB) **Status:** Open

Description

Effect: Delay to project. Increased financial liability. Impact on quality.

Cause tie fail to provide CEC with all relevant and necessary information in a timeous manner. tie fail to follow agreed protocols.

Risk Rating: 18.0 **Significance:** High

Assessment Matrix Edinburgh Tram

Model Notes	CAPEX Cost (£k)		Programme (Days)	
	Current	Planned Residual	Current	Planned Residual
	Major	NIL	Major	NIL
Min	500.00		4.00	
Expected	750.00	0.00	8.00	0.00
Max	1000.00		12.00	

Model Notes

Probability: Current Possible 50.00% Planned Residua NIL 0.00%

Title Develop agreement between tie and CEC officers in order to comply with CEC corporate governance

Plan Owner Undefined

High Level Plan

Description

Fallback Plan

Description

Title	Action Owner	Due
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Business Folder: TIE Ltd **Risk ID :** 990
Area of Risk: 5 PALIAMENTARY PROCESS/ APPROVALS
Event CEC carry financial impact of uncertified designs provided to Infraco
Owner D Fraser

Risk Area (OB) **Status:** Open

Description

Effect: Modifications required to the designs post-contract award resulting in additional costs

Cause SDS are behind programme with design review certificates and tie have decided not to extend programme period to account for this.

Risk Rating: 18.0 **Significance:** High

Assessment Matrix Edinburgh Tram

Model Notes	CAPEX Cost (£k)		Programme (Days)	
	Current	Planned Residual	Current	Planned Residual
	Major	NIL	NIL	NIL
Min	500.00		0.00	
Expected	750.00	0.00	0.00	0.00
Max	1000.00		0.00	

Model Notes

Probability: Current Possible 50.00% Planned Residual NIL 0.00%

Title Make necessary allowance for realisation of this risk

Plan Owner Undefined

High Level Plan

Description

Fallback Plan

Description

Title	Action Owner	Due
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Business Folder: TIE Ltd **Risk ID :** 978
Area of Risk: 5 PALIAMENTARY PROCESS/ APPROVALS
Event TTROs mirror TROs - this is potentially legally challengeable.
Owner K Rimmer
Risk Area (OB) **Status:** Open

Description

Effect: Legal challenge leading to delay in start of construction. Delay in gaining TRO extends beyond that which can be handled by Tram Strategy.

Cause Programme means that permanent TROs are not available at the start of construction (starts using TTROs).

Risk Rating: 14.0 **Significance:** Medium

Assessment Matrix Edinburgh Tram

Model Notes	CAPEX Cost (£k)		Programme (Days)	
	Current	Planned Residual	Current	Planned Residual
	NIL	NIL	Major	NIL

Min	0.00		6.00	
Expected	0.00	0.00	8.00	0.00
Max	0.00		12.00	

Model Notes

Probability: Current Remote 10.00% Planned Residual NIL 0.00%

Title TTROs mirror TROs
Plan Owner Undefined
High Level Plan Description TRO Strategy minimises (as far as possible) anomalies.
Fallback Plan Description

Title	Action Owner	Due
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Caveat: Uncontrolled when printed **Classification:** Commercially Sensitive

Business Folder: TIE Ltd **Risk ID :** 985
Area of Risk: 5 PALIAMENTARY PROCESS/ APPROVALS
Event: Members support objections to traffic management proposals
Owner: D Fraser
Risk Area (OB) **Status:** Open
Description:
Effect: Requirement to modify traffic management and possiibly TRO
Cause: Unacceptable change to traffic impact

Risk Rating: 12.0 **Significance:** Medium

Assessment Matrix Edinburgh Tram

Model Notes	CAPEX Cost (£k)		Programme (Days)	
	Current	Planned Residual	Current	Planned Residual
	NIL	NIL	Moderate	NIL
Min	0.00		0.00	
Expected	0.00	0.00	3.00	0.00
Max	0.00		6.00	

Model Notes

Probability: Current Possible 50.00% Planned Residual NIL 0.00%

Title: Reduce through design

Plan Owner: Undefined

High Level Plan

Description

Fallback Plan

Description

Title	Action Owner	Due
Robust and informed design e.g. frontager access	T Glazebrook	30-Apr-2008
Increase member engagement	D Fraser	30-Apr-2008

Caveat: Uncontrolled when printed **Classification:** Commercially Sensitive